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Express Mail Label No.: 5246561US

Date of Deposit: July 19, 2001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ICANTS: Gong, et al.

SERIAL NUMBER: 09/782,492

EXAMINER: Not Yet Assigned

FILING DATE: February 12, 2001

**ART UNIT: 1636** 

FOR: CELL FUSIONS AND METHODS OF MAKING AND USING THE SAME

#### **Box MISSING PARTS**

Assistant Commissioner for Patents Washington, D.C. 20231

### RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice To File Missing Parts mailed May 22, 2001, Applicants submit herein a copy of Form PTO-1533, copy of an executed Combined Declaration and Power of Attorney; fourteen (14) sheets of drawings with appropriate margins in compliance with 37 C.F.R. § 1.84(g) (Fig. 1A through Fig. 12B); Request for Corrected Filing Receipt, and payment of the surcharge fee (\$130.00) set forth in 37 C.F.R. §1.16(e). This response is due on or before July 22, 2001, with no extensions. Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 20349-004.

Respectfully submitted,

Ivot R. Elrifi, Reg. No. 39,529

Christina V. Karnakis, Reg. No. 45,899

Attorneys for Applicants c/o MINTZ, LEVIN

One Financial Center

Boston, Massachusetts 02111

Tel: (617) 542-6000

Fax: (617) 542-2241

Date: July 19, 2001

TRA 1543906v1

Express Mail No.: EL585246561US Date of Deposit: July 19, 2001

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Gong, et al.

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**Assistant Commissioner for Patents** 

Washington, D.C. 20231

## TRANSMITTAL LETTER

Sir:

JUL 1 9 2001

Transmitted herewith for filing in the present application are the following documents:

Response to Notice to File Missing Parts (1 pg.);  $\bowtie$ 

Copy of Notice to File Missing Parts of Nonprovisional Application (2 pgs.); Ø

Combined Declaration and Power of Attorney for Patent Application  $\boxtimes$ 

(two counterparts) (8 pgs.);

Check No. 9551 in the amount of \$130.00; X

Substitute Drawings - Fourteen (14) sheets (Figs. 1A-12B);  $\boxtimes$ 

Request for Corrected Filing Receipt and copy of Filing Receipt marked to show changes M

(3 pgs.); and

 $\boxtimes$ Postcard

Although Applicants believe that appropriate fees due in connection with this submission are provided, the Commissioner is authorized to credit any overpayment or charge any deficiencies to Deposit Account No. 50-0311, Reference No. 20363-004 (DFCI-4). A duplicate copy of this Transmittal is enclosed.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at (617) 542-6000, Boston, Massachusetts.

Respectfully submitted,

Ivor R. Elrifi, Registration No. 39,529

Christina V. Karnakis, Reg. No. 45,899

Attorneys for Applicant

MINTZ, LEVIN, COHN, FERRIS,

GLOVSKY and POPEO, P.C.

One Financial Center

Boston, Massachusetts 02111

Tel: (617) 542-6000 Fax: (617) 542-2241

Date: July 19, 2001

Express Mail Label No.:EL585246561US

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Gong, et al. PLICANTS:

ERIAL NUMBER:

09/782,492

EXAMINER: Not Yet Assigned

FILING DATE:

February 12, 2001

ART UNIT: 1636

FOR: CELL FUSIONS AND METHODS OF MAKING AND USING THE SAME

Office of Initial Patent Examination's Customer Service Center **Assistant Commissioner for Patents** Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

- Applicant requests a Corrected Filing Receipt for the above-mentioned provisional patent application. Applicant encloses a copy of the Filing Receipt with the correction noted thereon. Issuance of a corrected filing receipt is respectfully requested.
- There are errors with respect to the following data:,

Error in	Correct data
Applicants'name	Charles Nicolette, Bruce L. Roberts, Jianlin Gong, Donald W. Kufe
Attorney Docket No.	20363-004 (DFCI-4)
Attorney Name	Ivor R. Elrifi
☐ Title	
Serial Number	
☐ Title	
Other	Continuing Data as Claimed by Applicant:
	This Application claims priority to 09/618,917 7/18/00; which is a continuation of 09/060,603 4/15/98, which claims priority to 60/043,609; and claims priority to 60/181,822 2/11/00, 60/184,687 2/24/00, and 09/642,701 which is the national phase of PCT/US99/01464, which claims priority to 60/088,357 1/26/98, and 60/080,041 3/31/98.
	<ul> <li>☑ Applicants'name</li> <li>☐ Attorney Docket No.</li> <li>☑ Attorney Name</li> <li>☐ Title</li> <li>☐ Serial Number</li> <li>☐ Title</li> </ul>

- Although Applicant believes that no fee is due in connection with this filing,, the Commissioner is authorized to charge any fees that may be due to Deposit Account No. 50-0311, Ref. No. 20363-004 (DFCI-4).
- 4. Other documents:

Respectfully submitted,

Ivor R. Elrifi, Reg. No. 39,529

Christina V. Karnakis, Reg. No. 45,899

Attorneys for Applicant c/o MINTZ, LEVIN

One Financial Center

Boston, Massachusetts 0211

Tele: (617) 542-6000 Fax: (617) 542-2241

Date: July 19, 2001

TRA 1544102v1

United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE нистом, D.C. 2023I www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/782,492

02/12/2001

Jianlin Gong

20363-003

**CONFIRMATION NO. 7050** 

**FORMALITIES LETTER** 

\*OC000000006101903\*

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY and POPEO, P.C. One Financial Center Boston, MA 02111

Date Mailed: 05/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice <u>MUST</u> be returned with the reply.

07/24/2001 RK9RIS1 00000129 09782492

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Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



APPLICATION NUMBER

#### UNITED STATES PATENT AND TRADEMARK OFFICE

GRP ART UNIT

FILING DATE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspta.gov

TOT CLAIMS IND CLAIMS

09/782,492 02/12/2001	RECENTED 20	363-004 NFCI-4) CONFIRMATION NO. 7050			
THOR R. ELRIFI, ESO. MINTZ, LEVIN, COHN, FERRIS, GLOVSKY and POPEO, P.C. One Financial Center Boston, MA 02111	MAY 2 9 2001  MINTZ LEVIN, BOSTON PATENT DOCKET DEPT.	FILING RECEIPT *OC00000006101902*			
		Date Mailed: 05/22/2001			
Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).					
Applicant(s)	<b>.</b> 2 <i>P1</i>	uce L. Roberts			
Jianlin Gong, <del>Reside</del> CHARLES N		UCE L. ROBERTS ALD W. KUFE			
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Jianlin Gong, <del>Reside</del> CHARLES N		11/2000 PRIORITY to 09/618,917 7-18-00; Which IS a Continuation of 09/060, 6 4-15-98, which claims PRIORITY to 60/043,609 and CLA-IMS PRIORITY to 60/181,822 2-11-00,60/18 2-24-00, and 09/642,70	7 , 03 , 4,687		

Projected Publication Date: To Be Determined - pending completion of Missing Parts which is the

FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS

Non-Publication Request: No

Early Publication Request: No

**Title** 

Cell fusions and methods of making and using the same

**Preliminary Class** 

TO Data Entry Decket Entry.

NATIONAL PHASE OF PCT/US99/01464, which ClAIMS

to 60/088, 357

and 60/080,04/

Docket Cross Of .

\*\* Previously Entured ☐ No Docketing Req

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